

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

APPLICANT
Handa, et al.FILING DATE
9/19/00

GROUP ART UNIT

U.S. PATENT DOCUMENTS

EXAM'R INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
GA		3,573,747	4/6/71	Adams, et al.			
GA		4,674,044	6/16/87	Kalmus, et al.			
SA		4,942,616	7/17/90	Linstroth, et al.			
SG		4,980,826	12/25/90	Wagner			
SG		5,038,284	8/6/91	Kramer			
SG		5,077,665	12/31/91	Silverman, et al.			
GA		5,101,353	3/31/92	Lupien, et al.			
GA		5,136,501	8/4/92	Silverman			
GA		5,375,055	12/20/94	Togher, et al.			
SG		5,724,524	3/3/98	Hunt, et al.			
SG		5,774,880	6/30/98	Ginsberg			
SG		5,802,499	9/1/98	Sampson, et al.			
SG		5,809,483	9/15/98	Broka, et al.			
SG		5,857,176	1/5/99	Ginsberg			
SG		5,873,071	2/16/99	Ferrstenberg, et al.			
GA		5,905,974	5/18/99	Fraser, et al.			
GA		5,915,209	6/22/99	Lawrence			
GA		5,924,082	7/13/99	Silverman, et al.			

FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
GA	0512702 A2	11/11/92	Europe				
GA	WO 99/19821	4/22/99	WIPO				
GA	0411748 A2	2/6/91	Europe				

OTHER DOCUMENTS (Include Author, Title, Date, Pertinent Pages, etc.)

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CA		5,924,083	7/13/99	Silverman, et al.			
CA		5,963,923	10/5/99	Garber			
CA		5,987,419	11/16/99	Hachino, et al.			
CA		6,012,046	1/4/00	Lupien, et al.			
CA		6,014,627	1/11/00	Togher, et al.			
CA		6,014,643	1/11/00	Minton			
CA		6,016,483	1/18/00	Rickard, et al.			
CA		6,026,383	2/15/00	Ausubel			
CA		6,058,379	5/2/00	Odom, et al.			

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
CA		0399850 B1	12/13/95	Europe				
CA		0407026 B1	11/22/95	Europe				

OTHER DOCUMENTS (Include Author, Title, Date, Pertinent Pages, etc.)

CA	Schmerken, Ivy, <i>Banks Eye Forex Matching Systems</i> , Wall Street Computer Review, Vol. 9, No.2, P. 45.
CA	Wilson, John F., <i>On-Line International Cash Management</i> , Annual ACM Conference, 1983.
CA	Burman, Michael, <i>Aspects of a High-Volume Production Online Banking System</i> , IEEE Compcon, Spring of 1985.
CA	Sammer, Harald W., <i>Online Stock Trading Systems: Study of an Application</i> , IEEE Compcon, Spring of 1987.
CA	Clemons, E.K., et al., <i>Merrill Lynch Cash Management Account Financial Service: A Case Study in Strategic Information Systems</i> , 21 st Annual Hawaii International Conference on Systems Sciences, Vol. 4, 1988.

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<i>CA</i>	Finebaum, Murray L., <i>Information Systems in the Securities Industry</i> , 21 st Annual Hawaii International Conference on System Sciences, Vol. 4, 1988.
<i>CA</i>	Sager, M.T., <i>Competitive Alliances With Information Technology - The Australian Retail Banking Experience</i> , 22 nd Annual Hawaii International Conference on System Sciences, Vol. 4, 1989.
<i>CA</i>	Gutner, Tammi, <i>Fine If You're Big</i> , The Banker, October, 1988.
<i>CA</i>	Arend, Mark, <i>Swift Speeds Up As Broker/Dealers Join</i> , Wall Street Computer Review, January, 1989.
<i>CA</i>	Essinger, James, <i>ISE's 'SAEF' Debuts to Make the Market Sound</i> , Wall Street Computer Review, May, 1989.
<i>CA</i>	Freund, William C., <i>Electronic Trading and Linkages in International Equity Markets</i> , Financial Analysis Journal, May-June, 1989.
<i>CA</i>	Schmerken, Ivy, <i>High-Tech Banks Set to Challenge Wall Street</i> , Wall Street Computer Review, July, 1989.
<i>CA</i>	Arend, Mark, <i>Banks Lure Investors With High-Tech Convenience</i> , Wall Street Computer Review, December, 1989.
<i>CA</i>	Arend, Mark, <i>Where Wall Street's Tending In Trading Systems Design</i> , Wall Street Computer, June, 1990.
<i>CA</i>	Brennan, P.J., <i>OTC Trading Systems Lead In Race to Automate</i> , Wall Street Computer Review, November, 1990.
<i>CA</i>	Cody, B.J., <i>Reducing the Costs and Risks of Trading Foreign Exchange</i> , Wall Street Computer Review, November, 1990.
<i>CA</i>	Goodman, Ann, <i>The Monep: Mixing Men and Machines for Market Might</i> , Wall Street Computer Review, August, 1991.
<i>CA</i>	Heyman, D.P., <i>A Performance Model of the Credit Manager Algorithm</i> , Computer Networks and ISDN Systems, March, 1992.
<i>CA</i>	<i>Method For Collection of Accounting Data</i> , IBM Technical Disclosure Bulletin, 1986.
<i>CA</i>	<i>Banks Look to Build FX Order- Matching System Quotron Eyed as Vendor, Minex as Co-Sponsor?</i> , FX Week, May 17, 1991.
<i>CA</i>	<i>TST Interviews Evan Schulman, Automated Trading Pioneer</i> , Trading Systems Technology, July 15, 1991.
<i>CA</i>	Schmerken, Ivy, <i>Staying in the Middle:...Brokers Are Fighting to Keep Their Role in the Market; Includes Related Article on Crossing Networks</i> , Wall Street Computer Review, December, 1991.

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FORM PTO-1449 (Modified)	ATTY. DOCKET NO. ICOR-002	SERIAL NO. 09/665,305
<p>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT</p> <p>O I P E OCT 06 2000 JC134 PATENT & TRADEMARK OFFICE</p> <p>(Use several sheets if necessary)</p>		
	APPLICANT Handa, et al.	
	FILING DATE 9/19/00	GROUP ART UNIT

OTHER DOCUMENTS (Include Author, Title, Date, Pertinent Pages, etc.)

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DATE CONSIDERED

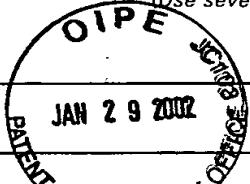
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PTO-1449 (modified)

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ATTY. DOCKET NO.

ICOR-002

APPLICATION NO.

09/665,305

APPLICANT

HANDA et al.

FILING DATE

September 19, 2000

GROUP

2161

JAN 29 2002

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
A	A1 5,950,177	Sep.7, 1999	Lupien et al.	G06F	17/60	
	A2					
	A3					
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Group 2100

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
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	B1						
	B2						
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OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

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PTO-1449 (modified)

ATTY. DOCKET NO.

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APPLICANT

HANDA et al.

FILING DATE

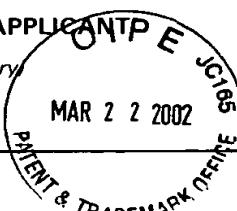
September 19, 2000

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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
CA	A1	6,317,727	11/13/2001	May	G06F	17/60	
CA	A2	2002/0002530 A1	1/3/2002	May	G06F	17/60	
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